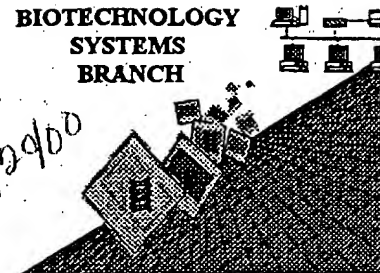


*Marked*

# RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following CRF diskette:

Application Serial Number:

09/214,453

Art Unit / Team No. :

1652

Date Processed by STIC:

5/24/2000

RECEIVED

JUN 12 2000

TECH CENTER 1600/2900

THE ATTACHED PRINTOUT EXPLAINS THE **ERRORS DETECTED.**

PLEASE BE SURE TO FORWARD THIS INFORMATION TO THE APPLICANTS BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANTS ALONG WITH A NOTICE TO COMPLY or,
- 2) CALLING APPLICANTS AND FAXING THEM A COPY OF THE PRINTOUT WITH A NOTICE TO COMPLY

THIS WILL INSURE THAT THE NEXT SUBMISSION RECEIVED FROM THEM WILL BE ERROR FREE.

IF YOU HAVE ANY FURTHER QUESTIONS, PLEASE CALL:

MARK SPENCER 703-308-4212

VERIFICATION SUMMARY  
PATENT APPLICATION: US/09/214,453

DATE: 05/24/2000  
TIME: 15:01:13

Input Set : A:\Biotica.app.txt  
Output Set: N:\CRF3\05242000\I214453.raw

L:11 M:220 C: Keyword misspelled or invalid format, [(F) ZIP:]  
L:17 M:220 C: Keyword misspelled or invalid format, [(F) ZIP:]  
L:23 M:220 C: Keyword misspelled or invalid format, [(F) ZIP:]  
L:29 M:220 C: Keyword misspelled or invalid format, [(F) ZIP:]  
L:35 M:220 C: Keyword misspelled or invalid format, [(v) COMPUTER READABLE FORM:]  
L:41 M:220 C: Keyword misspelled or invalid format, [(vi) CURRENT APPLICATION DATA:]  
L:42 M:220 C: Keyword misspelled or invalid format, [(A) APPLICATION NUMBER:]  
L:43 M:220 C: Keyword misspelled or invalid format, [(vii) PRIOR APPLICATION DATA:]  
L:47 M:220 C: Keyword misspelled or invalid format, [(vii) PRIOR APPLICATION DATA:]  
L:51 M:220 C: Keyword misspelled or invalid format, [(vii) PRIOR APPLICATION DATA:]  
L:6 M:200 E: Mandatory Header Field missing, [(i) APPLICANT:] Value not provided  
L:0 M:200 E: Mandatory Header Field missing, [(A) ADDRESSEE:]  
L:0 M:200 E: Mandatory Header Field missing, [(D) STATE:]  
L:0 M:248 E: Inserted missing Mandatory Header Field, [(iv) CORRESPONDENCE ADDRESS:]  
L:0 M:247 C: Inserted Optional Header Field, [(viii) ATTORNEY/AGENT INFORMATION:]  
L:0 M:249 C: Inserted Mandatory Field, [(B) FILING DATE:]

RECEIVED  
JUN 12 2000  
TECH CENTER 16002200

RAW SEQUENCE LISTING DATE: 05/24/2000  
 PATENT APPLICATION: US/09/214,453 TIME: 15:01:12

Input Set : A:\Biotica.app.txt  
 Output Set: N:\CRF3\05242000\I214453.raw

Does Not Comply  
 Corrected Diskette Needed

*(see attached)*

```

4 (1) GENERAL INFORMATION:
E--> 6 (i) APPLICANT:
31 (ii) TITLE OF INVENTION: Polyketides and their synthesis
33 (iii) NUMBER OF SEQUENCES: 93
E--> 0 (iv) CORRESPONDENCE ADDRESS:
26 (B) STREET: 26 Cambanks, Union Lane
27 (C) CITY: Cambridge
28 (E) COUNTRY: United Kingdom
C--> 29 (F) ZIP: CB4 1PZ
C--> 35 (v) COMPUTER READABLE FORM:
36 (A) MEDIUM TYPE: Floppy disk
37 (B) COMPUTER: IBM PC compatible
38 (C) OPERATING SYSTEM: PC-DOS/MS-DOS
39 (D) SOFTWARE: PatentIn Release #1.0, Version #1.25 (EPO)
C--> 41 (vi) CURRENT APPLICATION DATA:
C--> 42 (A) APPLICATION NUMBER: US/09/214,453
C--> 0 (B) FILING DATE: 25-Oct-1999
C--> 51 (vii) PRIOR APPLICATION DATA:
44 (A) APPLICATION NUMBER: GB 9614189.0
45 (B) FILING DATE: 05-JUL-1996
48 (A) APPLICATION NUMBER: GB 9710962.3
49 (B) FILING DATE: 28-MAY-1997
52 (A) APPLICATION NUMBER: US 60/024188
53 (B) FILING DATE: 19-AUG-1996
C--> 0 (viii) ATTORNEY/AGENT INFORMATION:
25 (A) NAME: Cortes, Jesus

```

ERRORRED SEQUENCES

5/24/00

file://C:\CRF3\Outhold\Vsrl214453.htm

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

(i) APPLICANT: *↑ move up all responses must be on same line as heading*~~(A) NAME: Biotica Technology Limited~~~~(B) STREET: 112 Hills Road~~~~(C) CITY: Cambridge~~~~(E) COUNTRY: United Kingdom~~~~(F) POSTAL CODE (ZIP): CB2 1PH~~*↑ move under first applicant*  
(A) NAME: Leadlay, Peter Francis~~(B) STREET: 17 Clarendon Road~~~~(C) CITY: Cambridge~~~~(E) COUNTRY: United Kingdom~~~~(F) POSTAL CODE (ZIP): CB2 2BH~~*↑*  
~~(A) NAME: Staunton, James~~~~(B) STREET: 29 Porson Road~~~~(C) CITY: Cambridge~~~~(E) COUNTRY: United Kingdom~~~~(F) POSTAL CODE (ZIP): CB2 2ET~~*↑*  
~~(A) NAME: Cortes, Jesus~~~~(B) STREET: 26 Cambanks, Union Lane~~~~(C) CITY: Cambridge~~~~(E) COUNTRY: United Kingdom~~~~(F) POSTAL CODE (ZIP): CB4 1PZ~~*EPO version of Patent In  
is invalid for U.S.  
filings*

(ii) TITLE OF INVENTION: Polyketides and their synthesis

(iii) NUMBER OF SEQUENCES: 93

(iv) ~~(iv)~~ COMPUTER READABLE FORM:

(A) MEDIUM TYPE: Floppy disk

(B) COMPUTER: IBM PC compatible

(C) OPERATING SYSTEM: PC-DOS/MS-DOS

(D) SOFTWARE: PatentIn Release #1.0, Version #1.25 (EPO)

(v) CORRESPONDENCE ADDRESS:

(A) ADDRESS:

(B) STREET:

(C) CITY:

(D) STATE:

(E) COUNTRY:

(F) ZIP:

*insert here  
MANDATORY heading  
on their response for  
a U.S.  
filing*(vi) ~~(vi)~~ CURRENT APPLICATION DATA:*insert →* (A) APPLICATION NUMBER: PCT/GB97/01819(vii) ~~(vi)~~ PRIOR APPLICATION DATA:

(A) APPLICATION NUMBER: GB 9614189.0

(B) FILING DATE: 05-JUL-1996

*→ (B) FILING DATE:*(viii) ~~(vi)~~ PRIOR APPLICATION DATA:

(A) APPLICATION NUMBER: GB 9710962.3

(B) FILING DATE: 28-MAY-1997

*← this serial number goes in  
PRIOR APP DATA section*(viii) ~~(vi)~~ PRIOR APPLICATION DATA:

(A) APPLICATION NUMBER: US 60/024188

(B) FILING DATE: 19-AUG-1996